



Date: 23 August 2022

Liaison activity report from [ISO/TC 309 Governance of organizations](#)

Latest Highlights

- ▮ [ISO 37000:2021 Governance of Organizations – Guidance](#) – published 13 September 2021.
- ▮ [ISO 37000:2021 Whistleblowing management systems – Guidelines](#) – published 26 July 2021.
- ▮ [ISO 37001:2016 – Anti-bribery management systems – A practical guide](#), prepared by WG2, published on 1 June 2021.
- ▮ [ISO 37301:2021 Compliance Management Systems](#) – published 13 April 2021.
- ▮ [ISO/IEC TS 17021-13:2021 Competence requirements for auditing and certification of compliance management systems](#) – published 15 December 2021.
- ▮ Standards currently [under development](#):
 - ISO/NP 37003 *Fraud Control Management Systems – Guidance for organizations responding to the risk of fraud* (under Working Group 8).
 - ISO 37004 *Governance Maturity Model* (under WG6)
 - ISO 37005 *Selecting, Creating and Using Indicators: Guidance for Governing Bodies* (under WG1) – CD for consultation August-October 2022.
 - ISO 37006 *Indicators of Effective Organizational Governance: Guidance* (under WG1)
 - ISO 37007 *Corporate Governance – Guidelines for efficiency measurement* (under WG5)
 - ISO TS 37008 *Internal Investigations of Organizations – Guidance* (under WG7) – CD for consultation August-October 2022.
 - ISO 37009 *Conflicts of interest – Guidelines* is currently under new work item ballot, until 20th October.

Website: <https://committee.iso.org/home/tc309>

1 Title and Scope

The title and scope of the committee were established at the first meeting of the committee in November 2016, and confirmed by TMB resolution 141/2016:

Title: Governance of organizations.

Scope: Standardization in the field of governance relating to aspects of direction, control and accountability of organizations.

2 Chair and Committee Manager

Chair Kev Brear, United Kingdom

Vice Chair (Twin) Axel Kravatzky, Trinidad & Tobago

3. Membership (as at 24 March 2022)

3.1 P and O Members

Participating Countries 53

Argentina	IRAM	Lithuania	LST
Australia	SA	Malaysia	DSM
Austria	ASI	Mongolia	MASM
Brazil	ABNT	Morocco	IMANOR
Canada	SCC	Netherlands	NEN
Chile	INN	Nigeria	SON
China	SAC	Norway	SN
Colombia	ICONTEC	Panama	COPANIT
Costa Rica	INTECO	Peru	INACAL
Côte d'Ivoire	CODINORM	Portugal	IPQ
Croatia	HZN	Romania	ASRO
Denmark	DS	Russian Federation	GOST R
Ecuador	INEN	Saint Lucia	SLBS
Egypt	EOS	Saudi Arabia	SASO
France	AFNOR	Serbia	ISS
Germany	DIN	Singapore	SSC
India	BIS	Slovakia	UNMS SR
Indonesia	BSN	South Africa	SABS
Iran, Islamic Republic of	INSO	Spain	UNE
Ireland	NSAI	Sri Lanka	SLSI
Italy	UNI	Sweden	SIS
Jamaica	BSJ	Switzerland	SNV
Japan	JISC	Trinidad and Tobago	TTBS
Jordan	JSMO	Tunisia	INNORPI
Kazakhstan	KAZMEMST	United Arab Emirates	ESMA
Kenya	KEBS	United Kingdom	BSI
Korea, Republic of	KATS		

Observing Countries 24

Algeria	IANOR	Guatemala	COGUANOR
Angola	IANORQ	Hong Kong Special Administrative Region of China	ITCHK SAR
Belarus	BELST	Hungary	MSZT
Bolivia	IBNORCA	Israel	SII
Bulgaria	BDS	Luxembourg	ILNAS
Cuba	NC	Mexico	DGN
Cyprus	CYS	New Zealand	NZSO
Czech Republic	UNMZ	Poland	PKN
El Salvador	OSN	Thailand	TISI
Finland	SFS	Uruguay	UNIT
Gabon	AGANOR	Zimbabwe	SAZ
Greece	NQIS ELOT		

3.2 Internal liaisons

TC309 can access the documents of the following committees:

[ISO/CASCO](#)

[ISO/IEC JTC 1/SC 27](#)

[ISO/IEC JTC 1/SC 40](#)

Committee on conformity assessment

Information security, cybersecurity and privacy protection

IT service management and IT governance

ISO/IEC JTC 1/SC 42	Artificial intelligence
ISO/TC 46/SC 11	Archives/records management
ISO/TC 176	Quality management and quality assurance
ISO/TC 207	Environmental management
ISO/TC 207/SC 1	Environmental management systems
ISO/TC 207/SC 2	Environmental auditing and related environmental investigations
ISO/TC 224	Service activities relating to drinking water supply, wastewater and stormwater systems
ISO/TC 251	Asset management
ISO/TC 258	Project, programme and portfolio management
ISO/TC 260	Human resource management
ISO/TC 262	Risk management
ISO/TC 268	Sustainable cities and communities
ISO/TC 283	Occupational health and safety management
ISO/TC 286	Collaborative business relationship management
ISO/TC 292	Security and resilience
ISO/TC 307	Blockchain and distributed ledger technologies
ISO/TC 322	Sustainable finance
ISO/PC 337	Guidelines for the promotion and implementation of gender equality

TC 309 is also represented on the ISO Climate Change Coordination Committee (CCCC)

The following committees can access the documents of TC 309

ISO/CASCO	Committee on conformity assessment
ISO/IEC JTC 1/SC 27	Information security, cybersecurity and privacy protection
ISO/IEC JTC 1/SC 40	IT Service Management and IT Governance
ISO/IEC JTC 1/SC 42	Artificial intelligence
ISO/TC 46/SC 11	Archives/records management
ISO/TC 68	Financial services
ISO/TC 176	Quality management and quality assurance
ISO/TC 176/SC 1	Concepts and terminology
ISO/TC 207	Environmental management
ISO/TC 207/SC 1	Environmental management systems
ISO/TC 207/SC 2	Environmental auditing and related environmental investigations
ISO/TC 224	Service activities relating to drinking water supply, wastewater and stormwater systems
ISO/TC 262	Risk management
ISO/TC 283	Occupational health and safety management
ISO/TC 286	Collaborative business relationship management
ISO/TC 307	Blockchain and distributed ledger technologies
ISO/TC 322	Sustainable finance
ISO/TC 324	Sharing economy
ISO/PC 337	Guidelines for the promotion and implementation of gender equality

3.3 External liaisons

ACCA	Association of Chartered Certified Accountants	A
ACi	Association of Corporate Investigators	A
EBRD	European Bank for Reconstruction and Development	A
ecoDa	European Confederation of Directors Association	A
ETUC	the European Trade Union Confederation	A
FIEC	European Construction Industry Federation	A
Govern for Impact	International Policy Governance Association	A
IBE	Institute of Business Ethics	A
ICGN	International Corporate Governance Network	A

IFC	International Finance Corporation	A
IFCA	International Federation of Compliance Associations	A
IIA	The Institute of Internal Auditors	A
IIOC	Independant International Organization for Certification	A
IIRC	International Integrated Reporting Council	A
IRM	The Institute of Risk Management	A
OAS	Organization of American States	A
OECD	Organisation for Economic Co-operation and Development, OECD	A
RIMS	The Risk Management Society	A
SVI	Social Value International	A
The CQI	The Chartered Quality Institute	A
Transparency International	Transparency International	A
UNCTAD	United Nations Conference on Trade and Development	A
WBCSD	World Business Council for Sustainable Development	A
WFEQ	World Federation of Engineering Organizations	A
WIN	Worldwide independent network	A
IFAC	International Federation of Accountants	C

4. Working Groups, Ad Hoc Groups and Advisory Group

Subcommittee/Working Group title	Purpose
Working Group 1 Guidance for the Governance of Organizations	WG established to develop: <ul style="list-style-type: none"> ▫ ISO 37000 <i>Guidance for the Governance of Organizations.</i> ▫ ISO 37005 <i>Selecting, Creating and Using Indicators – Guidance for Governing Bodies</i> ▫ ISO 37006 <i>Indicators of Effective Organizational Governance – Guidance</i>
Working Group 2 Anti-Bribery Management Systems	The WG established to <ul style="list-style-type: none"> ▫ Develop ISO 37001 <i>Practical Guide</i> (published June 2021) ▫ Consider post-publication issues relating to ISO 37001:2016, in cooperation with the TC309 AGCE.
Working Group 3 Whistleblowing	WG3 established to develop ISO 37002 <i>Whistleblowing Management Systems – Guidelines.</i>
Working Group 4 Compliance Management Systems	WG4 established to develop ISO 37301 <i>Compliance management systems – Requirements with guidance for use</i> (replaces ISO 19600, withdrawn).
Working Group 5 Guidelines for efficiency measurement	WG5 established to develop ISO 37007 <i>Corporate Governance – guidelines for efficiency measurement</i>
Working Group 6 Governance Maturity Model	WG6 established to develop ISO 37004 <i>Governance Maturity Model</i>
Working Group 7 Internal Investigations	WG6 established to develop ISO TS 37008 <i>Internal Investigations of Organizations – Guidance</i>
Working Group 8 Fraud controls	WG8 established to develop ISO 37003 <i>Fraud Control Management Systems – Guidance for organizations</i>

	<i>responding to the risk of fraud</i>
Advisory Group Communications and Engagement (AGCE)	Established to address the communications and engagement issues around its standards, including the development of the ISO/TC 309 website .
Chair's Advisory Group (CAG)	Advisory function to assist TC leadership, planning and steering of TC309's work, including review of liaisons
Developing Countries Co-ordination Group	The DCCG focuses on the current and future standardization needs of developing countries. This includes: <ul style="list-style-type: none"> ▮ sharing best practices and resources among TC 309 developing countries to identify their standardization needs and priorities ▮ exploring possible resourcing partnerships, ▮ advising on developing country issues on current and future standardization within TC309 ▮ considering sponsorship options available to developing countries to participate in development of TC309 standards ▮ use of twinning as a means to build capacity and enhance participation.
Task Group 6 Terminology Co-ordination	TG6 will provide an oversight of how terminology is being used not only in TC309 standards but also in related standards being developed by other committees. Also provides input to the revision to Annex SL High Level Structure.
Spanish Translation Task Force	To develop translations of TC309 Standards and other support material.

5. Projects published by this Committee

ISO 37000:2021 Guidance for Governance of Organizations

Published 13 September 2021.

ISO 37001:2016 Anti-bribery management systems -- Requirements with guidance for use

Published 13 October 2016. Systematic Review of ISO 37301:2016 will run from October 2021 to March 2022.

ISO 37001:2016 Anti-bribery management systems – A practical guide

Published 1 June 2021. See [TC 309 website](#).

ISO 37002:2021 Whistleblowing management systems – Guidelines

Published 26 July 2021.

ISO 37301:2021 Compliance management systems – Requirements with guidance for use

Published 13 April 2021.

ISO 19600:2014 Compliance management systems – Guidelines
[Withdrawn 13 April 2021 on publication of ISO 37301:2021]

6. Projects under development in this Committee

ISO 37003:202X ISO 37003 Fraud Control Management Systems – Guidance for organizations responding to the risk of fraud (WG8)

NWI Approved June 2022. WD under development.

ISO 37004:202X Governance of organizations — Governance maturity model (WG6)

NWI Approved July 2021. DIS ballot to run 25 September to 18 December 2022.

ISO 37005:202X Governance of organizations — Selecting, Creating and Using Indicators: Guidance for Governing Bodies (WG1)

NWI Approved July 2021. CD for consultation 24 August to 20 October.

ISO 37006:202X Indicators of Effective Organizational Governance: Guidance (WG1)

NWI Approved July 2021. WD under development.

ISO 37007:202X Corporate Governance – Guidelines for efficiency measurement (WG5)

NWI Approved November 2020. WD under development, Committee Draft due September 2022.

ISO TS 37008:202X Internal Investigations of Organizations – Guidance (WG7)

NWI Approved September 2021. 24 August to 20 October.

7. Meetings

Plenary meetings of ISO/TC 309 have been held:

- 1) London, UK, November 2016
- 2) Quebec City, Canada, May 2017
- 3) Shenzhen, China, November 2017
- 4) Sydney, Australia, 1-8 November 2018
- 5) New Delhi, India, 3-9 November 2019
- 6) Zoom virtual meeting, 6 November 2020
- 7) Zoom virtual meeting, 24 November 2021
- 8) Zoom virtual meeting, 3 November 2022



This committee contributes with six standards to the following [UN Sustainable Development Goals](#):

- [8 Decent Work and Economic Growth](#)
- [9 Industry, Innovation and Infrastructure](#)
- [10 Reduced Inequality](#)
- [11 Sustainable Cities and Communities](#)
- [16 Peace, Justice and Strong Institutions](#)